1 NATIONWIDE PROGRAMMATIC AGREEMENT 2 **AMONG** THE COMMANDER NAVY INSTALLATIONS COMMAND, 3 4 THE NATIONAL CONFERENCE OF STATE HISTORIC PRESERVATION OFFICERS, 5 AND THE ADVISORY COUNCIL ON HISTORIC PRESERVATION 6 REGARDING THE IMPROVEMENT, OPERATION, AND MAINTENANCE OF 7 PUBLIC SHIPYARDS AND SUPPORT FACILITIES 8 9 WHEREAS, deficiencies in US Navy (Navy) public shipyard infrastructure, as identified by the 10 Government Accountability Office (GAO), require comprehensive engineering and systemic improvement to meet the Navy's Fleet readiness requirements (GAO-20-64: Naval Shipyards, Key 11 12 Actions Remain to Improve Infrastructure to Better Support Navy Operations (November 2019); GAO-13 17-548: Naval Shipyards, Actions Needed to Improve Poor Conditions that Affect Operations (September 14 2017); GAO-20-588: Naval Shipyards: Actions Needed to Address the Main Factors Causing 15 Maintenance Delays for Aircraft Carriers and Submarines (August 2020)); and 16 17 WHEREAS, the Navy's four public shipyards, located in the states of Hawai'i, Maine, Virginia and 18 Washington are primary components of national defense responsible for maintaining readiness of the 19 Navy's Fleet of aircraft carriers and submarines; and 20 21 WHEREAS, the Navy Fleet readiness mission includes intermediate maintenance facilities and related 22 vessel maintenance support facilities (support facilities) in additional states and territories; and 23 24 WHEREAS, the Navy has established the Shipyard Infrastructure Optimization Program (SIOP) to plan 25 and program critical improvements at its public shipyards, pursuant to Senate Report 115-130, accompanying S.1557, the Fiscal Year (FY) 2018 Military Construction, Veterans Affairs, and Related 26 27 Agencies Appropriations Bill, and Senate Report 115-125, accompanying the FY 2018 National Defense 28 Authorization Act; and 29 30 WHEREAS, the SIOP mission is to address shipyard deficiencies and increase overall productivity and 31 capability, while continuing Fleet maintenance operations, in the foreseeable future; and 32 WHEREAS, the Navy's Fleet readiness mission requires reliable schedules for planning, review, and 33 34 implementation of operations, maintenance, and improvement at shipyards and support facilities in a 35 manner that supports synchronized project implementation with minimal disruption of the ongoing 36 shipyard maintenance requirements; and 37 38 WHEREAS, SIOP is developing plans for optimizing the shipyards through an initial review of 39 infrastructure problems per the 2018 SIOP Report to Congress; engineering analysis, modeling, 40 simulation of industrial processes and work flows; development of Area Development Plans (ADP); and 41 execution of recapitalization projects to support near-term dry dock availabilities, shipyard maintenance 42 requirements, and long-term optimization goals; and 43 44 WHEREAS, Navy plans to conduct Undertakings at shipyards and support facilities that include, but are 45 not limited to, operations, maintenance, demolition, alteration, new construction, and adaptive use, and 46 are subject to review under Section 106 of the National Historic Preservation Act (NHPA), 54 USC § 47 306108, and its implementing regulations, 36 CFR Part 800; and 48 49 WHEREAS, the Navy recognizes the historic and cultural value of the public shipyards and support 50 facilities and the diversity of historic properties located within and around these facilities; and

52 WHEREAS, the Navy has determined that Undertakings may adversely affect historic properties, which 53 are listed in and eligible for listing in the National Register of Historic Places, and has consulted with the 54 National Conference of State Historic Preservation Officers (NCSHPO) and the Advisory Council on 55

Historic Preservation (ACHP) pursuant to 36 CFR § 800.14(b)(2), of the regulations implementing

56 Section 106 of the NHPA (54 USC § 306108) in order to develop a Nationwide Programmatic Agreement

57 (NPA) for Undertakings at public shipyards and support facilities, and to direct the development of local 58 management procedures (LMP) through which it will identify, evaluate, assess, and resolve the effects of

59 Undertakings; and

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WHEREAS, the Navy has consulted with the State Historic Preservation Officers (SHPO) from Hawaii, Maine, Washington, and Virginia, and they have participated in the development of this NPA; and

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WHEREAS, the Navy has [will have] contacted all SHPOs and afforded opportunities to participate in the development of this NPA; and

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WHEREAS, the Navy has contacted the Aroostook Band of Micmacs, Catawba Indian Tribe, Chickahominy Indian Tribe, Chickahominy Indian Tribe Eastern Division, Houlton Band of Maliseet Indians of Maine, Monacan Nation, Nansemond Indian Nation, Pamunkey Indian Tribe, Passamaquoddy Tribe of Maine, Penobscot Nation, Rappahannock Tribe, Suquamish Tribe, Tuscarora Nation, and Upper Mattaponi Tribe, and has invited the Tribes to participate in the development of this NPA; and

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WHEREAS, the Navy has contacted the Ali'i Pauahi Hawaiian Civic Club, O'ahu Council of Hawaiian Civic Clubs, Office of Hawaiian Affairs, and Pearl Harbor Hawaiian Civic Club, and has invited the Native Hawaiian Organizations (NHOs) to participate in the development of this NPA; and

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WHEREAS, the Navy has consulted with the Interior Region 1 and Interior Regions 8, 9, 10, & 12 of the National Park Service (NPS), in accordance with 36 CFR § 800.10(b), regarding potential effects of Undertakings on National Historic Landmarks (NHL), and has invited the NPS to participate in the development of this NPA; and

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82 WHEREAS, the Navy has invited 'Aiea Community Association, American Society of Mechanical 83 Engineers, Archaeological Institute of America, Association for Washington Archaeology, Burke 84 Museum, City of Bremerton WA, City of Norfolk VA, City of Portsmouth NH, City of Portsmouth VA, 85 Coast Defense Study Group, Friends of the Norfolk Naval Shipyard Museum, Friends of the Portsmouth Naval Shipyard Museum Inc., Hampton Roads Naval Historical Foundation, Hampton Roads Naval 86 87 Museum, Historic Hawaii Foundation, Historic New England, Kitsap County Planning Department, 88 Kitsap County Historical Society, Kittery Historical and Naval Society, Maine Preservation, National 89 Trust for Historic Preservation, Nauticus, New Castle Historical Society, New Hampshire Preservation 90 Alliance, Oahu Veterans Council, Olde Towne Foundation, Pearl Harbor Aviation Museum, Portsmouth 91 Historic Sites Associates, Portsmouth Historical Association and Hill House Museum, Portsmouth 92 Historical Society, Portsmouth Naval Shipyard Museum, Portsmouth Submarine Memorial Association, 93 Preservation Virginia, Society for American Archaeology, Society for Industrial Archaeology, Society of 94 American Military Engineers, Society of Architectural Historians, Strawbery Banke Museum, The 95 Archaeological Society of Virginia, The Maine Archaeological Society, The Mariners' Museum, The New 96 Hampshire Archaeological Society, The Portsmouth Naval Shipyard Historical Foundation, Town of 97 Kittery ME, USS Bowfin Submarine Museum and Park, USS Missouri Memorial Association, 98 Washington State Historical Society, and Washington Trust for Historic Preservation to consult on the

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development of this NPA; and

WHEREAS, the Navy has conducted [shall conduct] public outreach through Federal Register notification and the Navy's information website, regarding the development of this NPA; and

104 105 106			ne Navy is aware of existing Section 106 agreements regarding public shipyards and es, and those agreements will be taken into account in the development of each LMP; and
107 108 109	partie		ue to the nature of the SIOP mission requirements, the Navy has informed consulting idance and minimization of adverse effects from SIOP Undertakings may not be feasible; and
110 111 112 113			ne procedures in this NPA include measures to minimize harm to any NHL that may be versely affected by an Undertaking to the maximum extent possible; and
114 115 116 117	ship r	nainten rtakings	ne Navy's national context and thematic study documenting the history of shipbuilding and ce in the United States are adequate mitigation of visual effects to historic properties of t public shipyards and support facilities identified and consulted on, consistent with this
119 120 121 122	imple	mented	<b>EFORE</b> , the Navy, the NCSHPO, and the ACHP agree that Undertakings shall be a accordance with the following stipulations in order to take into account the effect of a historic properties.
123			STIPULATIONS
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125 126	The N	Navy sh	ensure that the following measures are carried out:
120 127 128	I.	ROI	S AND RESPONSIBILITIES
129 130		A. Insta	The Commander Navy Installations Command (CNIC) through its Regional and tion chain of command shall ensure that the terms of this NPA are carried out.
131 132 133 134			1. The Navy shall identify in writing the applicable CNIC Commanding Officer (CO) for locations where this NPA is to be implemented.
135 136 137			2. The Navy shall notify the parties to this NPA and LMP, as applicable, within seven days of changes in command.
137 138 139		B.	The ACHP shall
140 141		C.	The NCSHPO shall
142 143	II.	APP	CABILITY
144 145 146 147			The NPA applies to all Navy Undertakings at Navy public shipyards in Hawai'i, Maine, agton, and Virginia, and those Undertakings located outside the boundaries of a public d that directly support shipyard operations.
147 148 149 150 151 152			1. Maps depicting the current geographic boundaries of each public are included in Appendix XX. If Navy requires modifications to public shipyard boundaries, the Navy shall distribute an updated map to the Signatories and appropriate SHPO within seven days; no formal amendment of this agreement will be required.

153 154 155 156			2.	those a operation	e purposes of this NPA, Undertakings outside the shipyard are defined as ctions with the primary purpose of providing essential support to ons at a public shipyard and that would not be executed if not for Fleet nance requirements at that shipyard.			
157 158 159		B. require			ies to Navy Undertakings at additional support facilities, subject to the tion III.B.			
160 161	III.	DEVE	ГОРМІ	ENT OF	LOCAL MANAGEMENT PROCEDURES			
162	111.	DLVL		111 01	DOCKE WIN WIGHTEN TINOCEDORES			
163		A.	After e	xecution	of this NPA, the appropriate Navy installation with management			
164 165		respons	esponsibilities for each public shipyard shall initiate consultation with the associated SHPO Hawai'i, Maine, Washington, and Virginia), NPS (when NHLs are located within the APE), and					
166			consulting parties regarding the development of an LMP to define the process through					
167					valuate, assess, and resolve effects of proposed Undertakings on historic			
168					cable shipyard.			
169		propert		pp				
170			1.	The sul	bject Navy installation shall use the LMP template as outlined in			
171					dix XX as the basis of the consultation to develop an LMP to provide a			
172					lined review process for Undertakings supporting shipyard operations and			
173				facility	actions.			
174								
175				a)	The Navy consideration of adverse effects, other than visual, shall be			
176					resolved through the LMP and the completion of a Mitigation Document			
177					to finalize the resolution and formalize the Navy's requirements for			
178					mitigation.			
179								
180				b)	The Navy nationwide mitigation (see Stipulation IV) adequately resolves			
181					visual adverse effects to historic properties at Navy shipyards and			
182					support facilities, and these effects shall not be part of the LMPs			
183					streamlined review process and/or Mitigation Documents.			
184			2	TT1 T.				
185			2.		MP for the four Navy public shipyards in Hawai'i, Maine, Washington,			
186					rginia shall be executed within 180 calendar days of initiation of			
187				consult	ation by the appropriate Navy installation.			
188			3.	The Me	avery installation shall marrida venittan matification to the annuamieta SUDO			
189			3.		avy installation shall provide written notification to the appropriate SHPO,			
190					ner identified consulting parties of the development of the LMP using the			
191				_	te found in Appendix XX and provide a schedule of the consultation			
192					ones to meet the LMP development schedule. The date of the written			
193					ation will be considered the initiation of the consultation. ACHP and			
194				NCSHI	PO shall be provided a copy of the written notification for their files.			
195								
196			4.		avy installation shall provide a draft of the LMP to the appropriate SHPO			
197					ner consulting parties for their review and comment. SHPO and consulting			
198				_	shall have 30 calendar days from receipt of the draft LMP to provide			
199					ents. If no response is received at the end of the 30 calendar days following			
200				confirn	ned receipt, the Navy shall assume there are no comments and proceed to			
201				the nex	at step of the LMP schedule. If comments are received, the Navy will			

address any comments to the maximum extent feasible in the final LMP. If the

203 Navy is unable to address a comment(s), the Navy will provide a memorandum for the record that responds to the comments and justification for why they were 204 or were not taken into account. 205 206 207 5. The Navy installation shall solicit comments from the public on the draft LMP 208 for a review period of 30 calendar days and shall incorporate comments to the maximum extent feasible. 209 210 211 6. The Navy installation shall provide the final LMP to the SHPO for signature, and 212 if applicable NPS, and other consulting parties for comments. The LMP shall be considered executed when the signatures of the Navy, SHPO and as appropriate, 213 214 NPS, are received. The fully executed LMP shall be provided to ACHP and NCSHPO within 10 calendar days of execution. 215 216 217 7. If the Navy installation, and appropriate SHPO, and NPS where applicable, are 218 unable to come to agreement and execute the LMP within the 180-day period, the 219 Navy shall follow the dispute resolution process in accordance with Stipulation 220 VII.A. 221 222 8. If additional time is necessary to support finalization of the LMP and obtaining 223 signatures for execution, the Navy may provide written notification to the parties 224 with a proposal to extend the period and provide a revised schedule. The period 225 should not extend more than 90 calendar days. ACHP and NCSHPO will be 226 copied on the notification. 227 228 When the Navy identifies the need to develop an LMP for a support facility at an B. 229 additional location, the Navy shall notify the ACHP and the NCSHPO in writing of its intention 230 to initiate consultation with the appropriate SHPO to develop an LMP for the subject support 231 facility. 232 233 At least 60 days in advance of initiating consultation on an LMP for the support 1. 234 facility, the Navy shall notify the appropriate SHPO, and [if an NHL is within the APE, NPS], of its intention to fulfill its Section 106 responsibilities for actions to 235 improve, operate, and maintain the support facility by complying with terms of 236 237 this agreement and developing an LMP. 238 The Navy shall consult with SHPO, and [NPS, as applicable] on the applicability 239 2. of the NPA and its proposed implementation. 240 241

> Each LMP shall be a binding local commitment subject to the terms of this NPA and separate from LMPs at other locations.

develop and consult on an LMP for the additional location.

At the conclusion of the 60-day consultation period, or upon concurrence by

SHPO, the Navy shall initiate consultation consistent with Stipulation III.A to

NATIONWIDE MITIGATION OF ADVERSE VISUAL EFFECTS IV.

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A. In recognition that alterations to the shipyards and support facilities may adversely affect the setting of historic properties at Navy installations, the Navy will address visual effects to setting through a program of research, documentation, and public interpretation on the history of shipyards, ship building, and ship maintenance in the US. The documentation program developed in consultation with NPA stakeholders will be organized to meet scholarly research and public communication goals.

# B. Applicability

- 1. Nationwide mitigation as outlined in Stipulation IV. C. shall mitigate adverse visual effects to historic properties at Navy installations caused by Undertakings at public shipyards and support facilities and additional mitigation is not required to mitigate adverse visual effects for those identified Undertakings.
- 2. Undertakings that will likely cause adverse visual effects, and will utilize the nationwide mitigation, shall be identified in the LMP if known at time of LMP development and/or provided as part of the annual reporting associated with the LMPs.
- C. Development of National Context and Thematic Study
  - 1. Within 60 calendar days of executing this NPA, the Navy shall initiate the process to develop a national context and thematic study documenting the history and shipbuilding and ship maintenance in the US.
  - 2. The Navy shall develop a project plan for nationwide shipyard mitigation that identifies key goals, participants, review processes, timelines, and measures for public distribution of project deliverables.
  - 3. The Navy shall solicit and consider comments from all NPA stakeholders prior to finalizing the project plan.
- D. Development of an Interpretive Exhibition on Shipyard History
  - 1. Within one year of executing this NPA, the Navy shall initiate the process for developing an interpretive exhibition of shipyard history to be installed at the National Museum of the United States Navy or other appropriate institution.
  - 2. The Navy shall develop a project plan for development of the exhibition that identifies key goals, participants, review processes, timelines, and measures for public exhibition of project deliverables.
  - 3. The Navy shall solicit and consider comments from all NPA stakeholders prior to finalizing the project plan.

### V. TRAINING IN ADMINISTRATION OF THIS NPA

- A. Qualified Navy subject matter experts (SME) shall conduct training in the administration of this NPA, to include orientation for new employees and annual refresher training for Navy personnel with responsibilities related to Undertakings at the public shipyard and support facilities, or any installations added to this agreement.
  - B. Qualified Navy SME may request the appropriate SHPO to attend and assist in the annual training.
  - C. The Navy will document the completion of the training, consistent with Stipulation VI.

## VI. REPORTING

For each fiscal year following execution of this NPA, the Navy shall prepare an annual report summarizing its implementation, to include the status of nationwide mitigation measures and the status of any LMPs developed.

## VII. DISPUTE RESOLUTION

- A. Should a signatory object at any time to any actions proposed or the manner in which the terms of the NPA are implemented, the Navy shall consult with such party to resolve the dispute within 10 calendar days of receiving the objection. If the Navy determines that such dispute cannot be resolved within 30 calendar days of receiving the objection, the Navy shall:
  - 1. Forward all documentation relevant to the dispute, including the Navy's proposed resolution to the ACHP. The ACHP shall provide Navy with its advice on resolution of the dispute within 30 calendar days of receiving adequate documentation. Prior to reaching a final decision on the dispute, Navy shall prepare a written response that takes into account any timely advice or comments regarding the dispute, and provide the Signatories with a copy of this written response. Navy shall then proceed according to its final decision.
  - 2. If the ACHP does not provide its advice regarding the dispute within the 30 calendar day period, Navy may make a final decision on the dispute and proceed accordingly. Prior to reaching such a final decision, the Navy shall prepare a written response that takes into account any timely comments regarding the dispute, and provide the Signatories with a copy of such written response.
- B. The Navy's responsibility to carry out all other actions subject to the terms of this NPA that are not subject of the dispute remain unchanged.
- C. Should any member of the public raise a timely and substantive objection pertaining to the manner in which the terms of this NPA are carried out, at any time during its implementation, the Navy shall take the objection into account by consulting with the objector to resolve the objection. When the Navy responds to an objection, it shall notify the parties to the NPA of the objection and the manner in which it was resolved. The Navy may request the assistance of a party to the NPA to resolve such an objection.

### VIII. AMENDMENT

This NPA may be amended when agreed to in writing by all signatories. The amendment will be effective on the date a copy signed by all of the signatories is filed with the ACHP.

### IX. **TERMINATION**

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A. If any signatory to this NPA determines that its terms will not or cannot be carried out, that party shall immediately consult with the other parties to attempt to develop an amendment. If within 30 calendar days (or another time agreed to by all signatories) an amendment cannot be reached, any signatory may terminate the NPA upon written notification to the other signatory.

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В. Once the NPA is terminated, and prior to work continuing on an Undertaking, the Navy shall ensure that Undertakings are reviewed in accordance with 36 CFR §§ 800.3 through 800.7 by the appropriate region and not in accordance with an executed LMP.

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#### X. ANTI-DEFICIENCY ACT

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The Navy's obligations under this NPA are subject to the availability of appropriated funds, and the stipulations of this NPA are subject to the provisions of the Anti-Deficiency Act. The Navy shall make reasonable and good faith efforts to secure the necessary funds to implement this NPA in its entirety. If compliance with the Anti-Deficiency Act alters or impairs the Navy ability to implement the stipulations of this NPA, the Navy shall consult in accordance with the amendment and terminations procedures of this NPA.

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#### **DURATION** XI.

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This PA shall become effective upon execution by all Signatories and shall remain in effect for 20 years from the date of execution, unless the NPA is terminated prior to that in accordance with Stipulation IX.

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**Execution** of this NPA by the Navy, the NCSHPO, and the ACHP and implementation of its terms evidence that the Navy has taken into account the effects of these Undertakings on historic properties and afforded the ACHP an opportunity to comment.

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This NPA may be executed in counterparts, each of which shall constitute an original, and all of which 380 shall constitute one and the same agreement.

382	NATIONWIDE PROGRAMMATIC AGREEMENT	
383	AMONG	
384	THE COMMANDER NAVY INSTALLATIONS COMMAND,	
385	THE NATIONAL CONFERENCE OF STATE HISTORIC PRESERVATION OFFICE	CERS,
386	AND THE ADVISORY COUNCIL ON HISTORIC PRESERVATION	
387	REGARDING THE IMPROVEMENT, OPERATIION, AND MAINTENANCE (	F
388	PUBLIC SHIPYARDS AND SUPPORT FACILITIES	
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394	COMMANDER NAVY INSTALLATIONS COMMAND	
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399	By: Date:	
400	[NAME]	
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403	NATIONWIDE PROGRAMMATIC AGREEMENT
404	AMONG
405	THE COMMANDER NAVY INSTALLATIONS COMMAND,
406	THE NATIONAL CONFERENCE OF STATE HISTORIC PRESERVATION OFFICERS,
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408	REGARDING THE IMPROVEMENT, OPERATIION, AND MAINTENANCE OF
409	PUBLIC SHIPYARDS AND SUPPORT FACILITIES
410	
411	SIGNATORY:
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413	NATIONAL CONFERENCE OF STATE HISTORIC PRESERVATION OFFICERS
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418	By: Date:
419	[NAME]
420	[TITLE]

421	NATIONWIDE PROGRAMMATIC AGREEMENT
422	AMONG
423	THE COMMANDER NAVY INSTALLATIONS COMMAND,
424	THE NATIONAL CONFERENCE OF STATE HISTORIC PRESERVATION OFFICERS,
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427	PUBLIC SHIPYARDS AND SUPPORT FACILITIES
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429	SIGNATORY:
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431	ADVISORY COUNCIL ON HISTORIC PRESERVATION
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435	
436	By: Date:
437	Chairman

439 APPENDIX A: LOCAL MANAGEMENT PROCEDURES TEMPLATE